# A Tutorial on Elliptical Anisotropy

Claudia Vanelle

email: vanelle@dkrz.de

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### ABSTRACT

This paper gives a short summary of the properties of anisotropic media with elliptical symmetry. It was motivated by the need for analytic expressions for the evaluation and verification of related computer algorithms. After a brief introduction and derivation of the phase and ray (group) velocities and the polarisation vectors I give expressions for the plane wave reflection and transmission coefficients at a boundary between two elliptically anisotropic half-spaces. These are followed by expressions for the traveltimes and the geometrical spreading for homogeneous media with elliptical anisotropy. Please note, that the resulting expressions are equally valid for isotropic media if the elastic coefficients are chosen accordingly. A final short description of my computer codes for the calculation of these quantities concludes the paper.

### **INTRODUCTION**

A medium with elliptical anisotropy and a vertical symmetry axis is characterised by the density-normalised elasticity tensor ( $A_{ik} = C_{ik}/\rho$ )

$$\underline{\underline{A}} = \begin{pmatrix} A_{11} & A_{12} & A_{13} & & & \\ & A_{11} & A_{13} & & & \\ & & A_{33} & & & \\ & & & A_{44} & & \\ & & & & & A_{44} & \\ & & & & & & A_{66} \end{pmatrix}$$
(1)

with the additional constraints

$$A_{12} = A_{11} - 2A_{66}$$
  

$$(A_{13} + A_{44})^2 = (A_{11} - A_{44})(A_{33} - A_{44}) \quad .$$
(2)

Let the slowness vector be denoted by **p**. Since  $\underline{\underline{A}}$  displays rotational symmetry with respect to the vertical (*z*- or 3-) axis I choose **p** in a way that  $p_y = p_2 = 0$  and

$$\mathbf{p} = \left(\frac{\sin\phi}{V}, 0, \frac{\cos\phi}{V}\right) \quad , \tag{3}$$

where  $\phi$  is the phase angle made by p and the vertical (z- or 3-) axis, and V is the phase velocity. I introduce the abbreviations  $d_{11}$  and  $d_{33}$  with

$$d_{11} = A_{11} - A_{44}$$
 and  $d_{33} = A_{33} - A_{44}$ . (4)

This leads to the following non-vanishing elements of the Christoffel matrix  $\Gamma_{ik} = a_{ijkl}p_{i}p_{l}$ :

$$\Gamma_{11} = A_{11} p_1^2 + A_{44} p_3^2 = A_{11} \frac{\sin^2 \phi}{V^2} + A_{44} \frac{\cos^2 \phi}{V^2} ,$$

$$\Gamma_{22} = A_{66} p_1^2 + A_{44} p_3^2 = A_{66} \frac{\sin^2 \phi}{V^2} + A_{44} \frac{\cos^2 \phi}{V^2} ,$$

$$\Gamma_{33} = A_{44} p_1^2 + A_{33} p_3^2 = A_{44} \frac{\sin^2 \phi}{V^2} + A_{33} \frac{\cos^2 \phi}{V^2} ,$$

$$\Gamma_{13} = (A_{13} + A_{44}) p_1 p_3 = \sqrt{d_{11} d_{33}} \frac{\sin \phi \cos \phi}{V^2} .$$
(5)

#### PHASE VELOCITIES

The solution of the Christoffel equation for the displacement vector u,

$$(\Gamma_{ik} - \delta_{ik})u_k = 0 \quad , \tag{6}$$

where  $\delta_{ik}$  is Kronecker's delta, requires that

$$\left|\Gamma_{ik} - G^{(n)}\delta_{ik}\right| = 0 \quad . \tag{7}$$

This determinant leads to the characteristic polynome of third order, whose three solutions are the eigenvalues  $G^{(n)} = 1$ , with n = 1, 2, 3. I define the index 1 to be a *q*SV wave, 2 an SH wave, and 3 a *q*P wave. The physical meaning of these definitions will become apparent in the next section on the polarisation vectors. For simplicity, the indices are abbreviated by SV, SH, and P, omitting the *q*.

Insertion of  $\Gamma_{ik}$  for the elliptic case yields three phase velocities  $V^{(n)}$ :

$$V^{SV} = \sqrt{A_{44}} ,$$
  

$$V^{SH} = \sqrt{A_{66} \sin^2 \phi^{SH} + A_{44} \cos^2 \phi^{SH}} ,$$
  

$$V^P = \sqrt{A_{11} \sin^2 \phi^P + A_{33} \cos^2 \phi^P} .$$
(8)

## POLARISATION

The three eigenvectors  $\mathbf{g}^{(n)}$  that obey

$$(\Gamma_{ik} - \delta_{ik})g_k^{(n)} = 0 \tag{9}$$

are the polarisation vectors of the three waves with the phase velocities  $V^{(n)}$ . The polarisations are given by

$$\mathbf{g}^{SV} = \left(m^{SV}\cos\phi^{SV}, 0, -l^{SV}\sin\phi^{SV}\right) ,$$
  

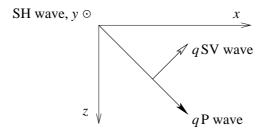
$$\mathbf{g}^{SH} = \left(0, 1, 0\right) ,$$
  

$$\mathbf{g}^{P} = \left(l^{P}\sin\phi^{P}, 0, m^{P}\cos\phi^{P}\right) ,$$
(10)

where the abbreviations  $l^{(n)}$  and  $m^{(n)}$  are introduced:

$$l^{(n)} = \sqrt{\frac{d_{11}}{d_{11}\sin^2\phi^{(n)} + d_{33}\cos^2\phi^{(n)}}} ,$$
  
$$m^{(n)} = \sqrt{\frac{d_{33}}{d_{11}\sin^2\phi^{(n)} + d_{33}\cos^2\phi^{(n)}}} .$$
 (11)

The signs of the polarisation vectors are chosen in a way that the  $g^{(n)}$  form an orthonormal system, see Figure 1. The wave associated with index 3 is a qP wave, which I have abbreviated with P for shortness. The index 1 corresponds to a quasi shear wave, abbreviated with SV. The SH wave has index 2.



**Figure 1:** Polarisation vectors in a medium with elliptical anisotropy. The qP and qSV waves propagate in the x-z plane, the SH wave polarisation vector is oriented along the y axis, pointing to the reader.

## **RAY (GROUP) VELOCITIES**

The components of the ray or group velocity vectors for the three wave types,  $\mathbf{v}^{(n)}$  (denoted by lower case letters to distinguish the group velocities from the phase velocities  $V^{(n)}$ ) are given by

$$v_i^{(n)} = a_{ijkl} g_j^{(n)} g_k^{(n)} p_l^{(n)} \quad , \tag{12}$$

leading to

$$\mathbf{v}^{SV} = \left(\sqrt{A_{44}}\sin\phi^{SV}, 0, \sqrt{A_{44}}\cos\phi^{SV}\right) ,$$
  

$$\mathbf{v}^{SH} = \left(\frac{A_{66}}{V^{SH}}\sin\phi^{SH}, 0, \frac{A_{44}}{V^{SH}}\cos\phi^{SH}\right) ,$$
  

$$\mathbf{v}^{P} = \left(\frac{A_{11}}{V^{P}}\sin\phi^{P}, 0, \frac{A_{33}}{V^{P}}\cos\phi^{P}\right) .$$
(13)

Introducing the ray angle  $\theta^{(n)}$  with  $\tan \theta^{(n)} = v_x^{(n)} / v_z^{(n)}$  yields

$$\tan \theta^{SV} = \tan \phi^{SV} ,$$
  

$$\tan \theta^{SH} = \frac{A_{66}}{A_{44}} \tan \phi^{SH} ,$$
  

$$\tan \theta^{P} = \frac{A_{11}}{A_{33}} \tan \phi^{P} ,$$
(14)

and

$$v^{SV} = \sqrt{A_{44}} = V^{SV} ,$$

$$v^{SH} = \frac{\sqrt{A_{66}^2 \sin^2 \phi^{SH} + A_{44}^2 \cos^2 \phi^{SH}}}{V^{SH}} = \left[\frac{\sin^2 \theta^{SH}}{A_{66}} + \frac{\cos^2 \theta^{SH}}{A_{44}}\right]^{-\frac{1}{2}} ,$$

$$v^P = \frac{\sqrt{A_{11}^2 \sin^2 \phi^P + A_{33}^2 \cos^2 \phi^P}}{V^P} = \left[\frac{\sin^2 \theta^P}{A_{11}} + \frac{\cos^2 \theta^P}{A_{33}}\right]^{-\frac{1}{2}} .$$
(15)

## **REFLECTION AND TRANSMISSION COEFFICIENTS**

The displacement vector for a plane wave of type n is expressed by

$$\mathbf{u}^{(n)} = U^{(n)} \,\mathbf{g}^{(n)} \,\mathrm{e}^{-i\omega(t-\tau^{(n)})} \quad . \tag{16}$$

where  $U^{(n)}$  is the scalar amplitude associated with the wavetype n. The eikonal or phase function  $\tau^{(n)}$  is

$$\tau^{(n)} = \nabla \tau^{(n)} \cdot \mathbf{r} = \mathbf{p}^{(n)} \cdot \mathbf{r} \quad . \tag{17}$$

Consider now a plane boundary between two homogeneous elliptically anisotropic half spaces at the depth z = 0 (see Figure 2). Depending on the type of the incident wave, reflected and transmitted waves of different types are generated. An incident SH wave leads to reflected and transmitted SH waves, whereas in the cases of incident qSV or qP waves conversion from qSV to qP and vice versa can also occur. Therefore, an incident qSV wave will generate not only reflected and transmitted qSV waves, but also reflected and transmitted qP waves. The same applies to an incident qP wave which will lead to reflected and transmitted qSV and qP waves. Each of these waves can be written in terms of Equation (16). In addition to the upper index n for the wavetype, the individual displacement vectors will be denoted with the lower index 0 for the incident wave, R for the reflected wave, and T for the transmitted wave.

To determine the reflection and transmission coefficients of the displacement, the following boundary conditions must be fulfilled:

The spatial derivatives of the displacement components are

$$\frac{\partial u_i}{\partial x_j} = i\omega \,\mathrm{e}^{-i\omega(t-\mathbf{p}\cdot\mathbf{r})} \,u_i \,p_j \quad . \tag{18}$$

The slowness vectors of the incident, reflected, and transmitted waves are given by

incident wave : 
$$\mathbf{p}_{0}^{(n)} = \frac{1}{V_{0}^{(n)}} (\sin \phi_{0}^{(n)}, 0, \cos \phi_{0}^{(n)}) ,$$
  
reflected wave :  $\mathbf{p}_{R}^{(n)} = \frac{1}{V_{R}^{(n)}} (\sin \phi_{R}^{(n)}, 0, -\cos \phi_{R}^{(n)}) ,$   
transmitted wave :  $\mathbf{p}_{T}^{(n)} = \frac{1}{V_{T}^{(n)}} (\sin \phi_{T}^{(n)}, 0, \cos \phi_{T}^{(n)}) .$  (19)

Snell's law requires that the horizontal slowness  $p = \sin \phi/V$  remains constant. This can lead to imaginary angles  $\phi$ . In that case the displacement given by Equation (16) will show exponential behaviour along z. To avoid an increase in amplitude, the cosine of the angle  $\phi$  must be either a real or a positive imaginary number.

Application of the boundary conditions and phase matching leads to equations for the reflection and transmission coefficients. Since the SH wave is decoupled from the qP and qSV waves, the SH and qP/qSV cases can be treated separately.

#### SH waves

Continuity of the y component of the displacement,  $u_y$ , and the shear stress  $\sigma_{yz}$  leads to

$$R_{SH-SH} = \frac{U_R^{SH}}{U_0^{SH}} = \frac{\rho^{(1)} A_{44}^{(1)} V_2 \cos \phi_1 - \rho^{(2)} A_{44}^{(2)} V_1 \cos \phi_2}{\rho^{(1)} A_{44}^{(1)} V_2 \cos \phi_1 + \rho^{(2)} A_{44}^{(2)} V_1 \cos \phi_2} ,$$
  

$$T_{SH-SH} = \frac{U_T^{SH}}{U_0^{SH}} = \frac{2 \rho^{(1)} A_{44}^{(1)} V_2 \cos \phi_1}{\rho^{(1)} A_{44}^{(1)} V_2 \cos \phi_1 + \rho^{(2)} A_{44}^{(2)} V_1 \cos \phi_2} ,$$
(20)

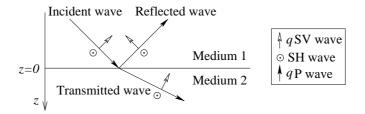


Figure 2: Boundary between two homogeneous elliptical media and orientation of the polarisation vectors of the incident, reflected, and transmitted waves.

where index 1 describes the properties of medium 1 (with the incident/reflected wave) and index 2 those of medium 2 with the transmitted wave. The reflection angle,  $\phi_R^{SH}$  is equal to the incidence angle  $\phi_0^{SH} = \phi_1$ , and the transmission angle  $\phi_T^{SH} = \phi_2$  can be computed from Snell's law, leading to

$$\phi_T^{SH} = \arctan\left[\frac{A_{44}^{(2)}}{\frac{1}{p^2} - A_{66}^{(2)}}\right]^{\frac{1}{2}} , \qquad (21)$$

where p can be computed from the quantities of the incident wave, i.e.  $p = \sin \phi_0^{SH} / V_0^{SH}$ .

## qP-qSV waves

Continuity of the x and z components of the displacement vectors, the shear stress  $\sigma_{xz}$  and the normal stress  $\sigma_{zz}$  leads to two linear system of equations with four unknowns, one system for an incident SV wave,

$$\begin{pmatrix} X_{11} & X_{12} & X_{13} & X_{14} \\ X_{21} & X_{22} & X_{23} & X_{24} \\ X_{31} & X_{32} & X_{33} & X_{34} \\ X_{41} & X_{42} & X_{43} & X_{44} \end{pmatrix} \begin{pmatrix} R_{SV-P} \\ T_{SV-P} \\ R_{SV-SV} \\ T_{SV-SV} \end{pmatrix} = \begin{pmatrix} Y_1^{SV} \\ Y_2^{SV} \\ Y_3^{SV} \\ Y_4^{SV} \end{pmatrix} ,$$
(22)

and a second for an incident P wave:

$$\begin{pmatrix} X_{11} & X_{12} & X_{13} & X_{14} \\ X_{21} & X_{22} & X_{23} & X_{24} \\ X_{31} & X_{32} & X_{33} & X_{34} \\ X_{41} & X_{42} & X_{43} & X_{44} \end{pmatrix} \begin{pmatrix} R_{P-P} \\ T_{P-P} \\ R_{P-SV} \\ T_{P-SV} \end{pmatrix} = \begin{pmatrix} Y_1^P \\ Y_2^P \\ Y_3^P \\ Y_4^P \end{pmatrix}$$
(23)

As for the SH case, the reflection coefficients  $R_{nn'}$  and transmission coefficients  $T_{nn'}$  of the displacement are given by the amplitude ratio between the reflected/transmitted wave (of type n) and the incident wave (of type n'):

$$R_{nn'} = \frac{U_R^n}{U_0^{n'}}$$
 and  $T_{nn'} = \frac{U_T^n}{U_0^{n'}}$  . (24)

The  $4 \times 4$  matrix  $\underline{X}$  is the same in both Equations, (22) and (23). Its elements are

$$\begin{split} X_{11} &= U_R^P l_R^P \sin \phi_R^P \ , \\ X_{12} &= -U_T^P l_T^P \sin \phi_T^P \ , \\ X_{13} &= -U_R^{SV} m_R^{SV} \cos \phi_R^{SV} \ , \\ X_{14} &= -U_T^{SV} m_T^{SV} \cos \phi_T^{SV} \ , \\ X_{21} &= -U_R^P m_R^P \cos \phi_T^P \ , \\ X_{22} &= -U_T^P m_T^P \cos \phi_T^P \ , \\ X_{23} &= -U_R^{SV} l_R^{SV} \sin \phi_R^{SV} \ , \\ X_{24} &= U_T^{SV} l_T^{SV} \sin \phi_T^{SV} \ , \\ X_{31} &= -\frac{U_R^P}{V_R^P} C_{55}^{(1)} \sin \phi_R^P \cos \phi_R^P (l_R^P + m_R^P) \ , \\ X_{32} &= -\frac{U_T^P}{V_T^P} C_{55}^{(2)} \sin \phi_T^P \cos \phi_T^P (l_T^P + m_T^P) \ , \\ X_{32} &= -\frac{U_T^{SV}}{V_T^{SV}} C_{55}^{(2)} (l_R^{SV} \sin^2 \phi_R^{SV} - m_R^{SV} \cos^2 \phi_R^{SV}) \ , \\ X_{33} &= -\frac{U_R^{SV}}{V_R^{SV}} C_{55}^{(2)} (l_R^{SV} \sin^2 \phi_R^{SV} - m_T^{SV} \cos^2 \phi_R^{SV}) \ , \\ X_{34} &= \frac{U_T^{SV}}{V_T^{SV}} C_{55}^{(2)} (l_T^{SV} \sin^2 \phi_R^{P} + C_{33}^{(1)} m_R^{P} \cos^2 \phi_R^{P}) \ , \\ X_{41} &= -\frac{U_R^P}{V_T^P} (C_{13}^{(2)} P \sin^2 \phi_T^P + C_{33}^{(2)} m_T^P \cos^2 \phi_T^P) \ , \\ X_{43} &= -\frac{U_R^{SV}}{V_T^{SV}} \sin \phi_R^{SV} \cos \phi_R^{SV} (C_{13}^{(1)} m_R^{SV} - C_{33}^{(1)} l_R^{SV}) \ , \\ X_{44} &= -\frac{U_R^{SV}}{V_T^{SV}} \sin \phi_T^{SV} \cos \phi_T^{SV} (C_{13}^{(2)} m_T^{SV} - C_{33}^{(2)} l_T^{SV}) \ . \end{aligned}$$

The right hand sides of Equations (22) and (23) are given by

$$Y_{1}^{SV} = -U_{0}^{SV} m_{0}^{SV} \cos \phi_{0}^{SV} ,$$

$$Y_{2}^{SV} = U_{0}^{SV} l_{0}^{SV} \sin \phi_{0}^{SV} ,$$

$$Y_{3}^{SV} = \frac{U_{0}^{SV}}{V_{0}^{SV}} C_{55}^{(1)} (l_{0}^{SV} \sin^{2} \phi_{0}^{SV} - m_{0}^{SV} \cos^{2} \phi_{0}^{SV}) ,$$

$$Y_{4}^{SV} = -\frac{U_{0}^{SV}}{V_{0}^{SV}} \sin \phi_{0}^{SV} \cos \phi_{0}^{SV} (C_{13}^{(1)} m_{0}^{SV} - C_{33}^{(1)} l_{0}^{SV}) .$$
(26)

and

$$Y_{1}^{P} = -U_{0}^{P} l_{0}^{P} \sin \phi_{0}^{P} ,$$

$$Y_{2}^{P} = -U_{0}^{P} m_{0}^{P} \cos \phi_{0}^{P} ,$$

$$Y_{3}^{P} = -\frac{U_{0}^{P}}{V_{0}^{P}} C_{55}^{(1)} \sin \phi_{0}^{P} \cos \phi_{0}^{P} (l_{0}^{P} + m_{0}^{P}) ,$$

$$Y_{4}^{P} = -\frac{U_{0}^{P}}{V_{0}^{P}} (C_{13}^{(1)} {}_{0}^{P} \sin^{2} \phi_{0}^{P} + C_{33}^{(1)} m_{0}^{P} \cos^{2} \phi_{0}^{P}) .$$
(27)

The reflection and transmission angles are again determined from Snell's law:

$$\phi_{R}^{P} = \arctan\left[\frac{A_{33}^{(1)}}{\frac{1}{p^{2}} - A_{11}^{(1)}}\right]^{\frac{1}{2}} ,$$

$$\phi_{T}^{P} = \arctan\left[\frac{A_{33}^{(2)}}{\frac{1}{p^{2}} - A_{11}^{(2)}}\right]^{\frac{1}{2}} ,$$

$$\phi_{R}^{SV} = \operatorname{arcsin}(p V_{R}^{SV}) ,$$

$$\phi_{T}^{SV} = \operatorname{arcsin}(p V_{T}^{SV}) ,$$
(28)

where again,  $p = \sin \phi_0^{(n)} / V_0^{(n)}$  is computed from the incident wave with n equal to P or SV.

Equations (22) and (23) can be solved for the individual coefficients by the usual methods for systems of linear equations.

#### Normalised R/T coefficients

Another possibility is to express the coefficients normalised with respect to the energy flux perpendicular to the interface. The normalised reflection and transmission coefficients  $\mathcal{R}_{nn'}$  and  $\mathcal{T}_{nn'}$  are obtained from the standard coefficients  $\mathcal{R}_{nn'}$  and  $\mathcal{T}_{nn'}$  by

$$\mathcal{R}_{nn'} = \left| \frac{\rho_R \, v_R^{n'} \, \cos \phi_R^{n'}}{\rho_0 \, v_0^n \, \cos \phi_0^n} \right|^{\frac{1}{2}} R_{nn'} ,$$
  
$$\mathcal{T}_{nn'} = \left| \frac{\rho_T \, v_T^{n'} \, \cos \phi_1^{n'}}{\rho_0 \, v_0^n \, \cos \phi_0^n} \right|^{\frac{1}{2}} T_{nn'} .$$
(29)

The wavetype denoted by n' is again that of the incident wave, index n corresponds to the reflected or transmitted wave.

#### TRAVELTIMES

Consider a homogeneous medium with the vector  $\mathbf{r} = (x, y, z) = (g_x - s_x, g_y - s_y, g_z - s_z)$  describing the distance between the source (s) and receiver (g) positions, and its modulus,  $r = \sqrt{x^2 + y^2 + z^2}$ . The traveltime  $\tau^{(n)}$  of a wave of type *n* propagating from the source to the receiver is given by  $\tau^{(n)} = r/v^{(n)}$ . This results in the following traveltimes:

$$\tau^{SV} = \sqrt{\frac{x^2 + y^2 + z^2}{A_{44}}} ,$$
  

$$\tau^{SH} = \sqrt{\frac{x^2 + y^2}{A_{66}} + \frac{z^2}{A_{44}}} ,$$
  

$$\tau^P = \sqrt{\frac{x^2 + y^2}{A_{11}} + \frac{z^2}{A_{33}}} .$$
(30)

## GEOMETRICAL SPREADING

The relative geometrical spreading  $L^{(n)}$  that a wave of type n undergoes in a homogeneous medium can be expressed by

$$L^{(n)} = \frac{\cos \theta^{(n)}}{\sqrt{\left|\det \underline{\mathbf{N}}^{(n)}\right|}} \frac{v^{(n)}}{V^{(n)}} \quad , \tag{31}$$

where the 2×2 matrix  $\underline{N}^{(n)}$  is the second-order mixed derivative matrix of the traveltimes  $\tau^{(n)}$  with respect to the source and receiver positions:

$$N_{IJ}^{(n)} = -\frac{\partial^2 \tau^{(n)}}{\partial s_I \partial q_J} \quad . \tag{32}$$

(Indices I and J take the values 1 and 2.) Differentiation of Equation (30) leads to the following expressions for the relative geometrical spreading:

$$L^{SV} = \sqrt{A_{44}} r ,$$
  

$$L^{SH} = \frac{\sqrt{A_{44}} A_{66}}{v V} r ,$$
  

$$L^{P} = \frac{A_{11} \sqrt{A_{33}}}{v V} r .$$
(33)

#### **COMPUTER PROGRAMS**

This section gives a short description of the computer codes that make use of the results from the previous sections. The programs are free software under the GNU public license and can be obtained from the author.

#### **Reflection and Transmission Coefficients**

The program elli\_coef.f computes the elastic standard reflection and transmission coefficients as described above. The linear systems (22) and (23) are solved with Kramer's method, where the determinants are computed analytically. This program comes with the graphical user interface (GUI) elli\_coef.pl. After entering the elastic parameters of the two media and specifying the incident and outgoing wavetypes, the program computes (the Apply button) or computes and displays (the Plot button) the desired reflection or transmission coefficient. The SU (seismic unix) routines ftnstrip and xgraph are required to display the coefficients.

#### **Traveltimes and Spreading**

The program elli\_ttl.c computes traveltimes and geometrical spreading in three dimensions for a homogeneous elliptical medium. The required elastic parameters  $A_{11}$ ,  $A_{33}$ ,  $A_{44}$ , and  $A_{66}$  are given in the input coordinate system with rotational symmetry around the vertical axis. Three angles can be specified to transform the elasticity tensor to a system with arbitrary orientation of the symmetry axis. To do so, the input coordinate system is first rotated around the x axis by the angle  $r_x$ , then around the y axis by  $r_y$ , and, finally, around the z axis by  $r_z$ .

The program computes spreading and traveltimes from Equations (30) and (33) for arbitrary wave type, grid sizes and spacings, and source positions (within the specified volume). The user is prompted for all of these informations after starting the program. Of course, it is also possible to apply I/O-redirect with an input file.

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